# Mahler measures, complex and p-adic

Joint work with Christopher Deninger from the University of Münster. Crelle's journal 517 (1999)

**Amnon Besser** 

Ben-Gurion University of the Negev

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- Definition of the complex Mahler measure
- Various occurrences in mathematics
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#### Definition of Mahler's measure

For a Laurent polynomial

$$P(z_1, z_2, \dots, z_n) = \sum a_I z_1^{i_1} \cdots z_n^{i_n} \in \mathbb{C}[z_1^{\pm}, \dots, z_n^{\pm}]$$

The Mahler measure of P is given by

$$m(P) = (2\pi i)^{-n} \int_{\mathbb{T}^n} \log |P(z_1, \dots, z_n)| \frac{dz_1}{z_1} \cdots \frac{dz_n}{z_n}$$

where 
$$\mathbb{T} = \{z \in \mathbb{C} : |z| = 1\}$$

Change of variables  $\Longrightarrow$ 

$$m(P) = \int_0^1 \cdots \int_0^1 \log |P(e^{2\pi i\theta_1}, \dots, e^{2\pi i\theta_n})| d\theta_1 \cdots d\theta_n$$

#### Case n=1

$$\tilde{P}(z) = \frac{P}{z^{\operatorname{ord}_0(P)}}$$

$$m(P) = \frac{1}{2\pi i} \int_{\mathbb{T}} \log|P(z)| \frac{dz}{z} = \log|\tilde{P}(0)| - \sum_{\substack{0 < |b| < 1 \\ P(b) = 0}} \log|b|$$

Jensen's formula

## History - Lehmer's work

Case n = 1 - Lehmer (Annals of Math. 1933).

Motivation - Finding large prime numbers:

Suppose 
$$P(x) = \prod (x - \alpha_i) \in \mathbb{Z}[x], |\alpha_i| \neq 1.$$

Set 
$$\Delta_n(P) = \prod (\alpha_i^n - 1) \in \mathbb{Z}$$

Example: P(x) = x - 2,

 $\Delta_n(P) = 2^n - 1$  (Mersenne primes)

Measure for growth of  $\Delta_n(P)$ :

$$\lim_{n \to \infty} \left| \frac{\Delta_{n+1}(P)}{\Delta_n(P)} \right| = \prod \max\{1, |\alpha_i|\}$$
$$= M(P) := \exp(m(P)).$$

Slower growth implies a larger chance for finding primes.

Lehmer's best example:

$$G(x) = x^{10} + x^9 - x^7 - x^6 - x^5 - x^4 - x^3 + x + 1,$$

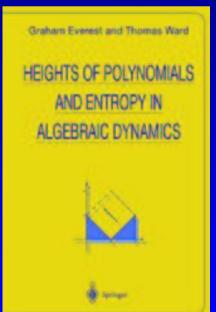
$$M(G) = 1.176...$$

This is still the smallest value > 1 known today **Lehmer's conjecture**: 0 is not an accumulation point for Mahler measures of integral polynomials in one variable.

## History - Mahler's work

**Mahler (1960's)** compared m(P) with other measures on polynomials, e.g.,  $L^1$  and  $L^\infty$  norms, and also provided the integral formula.

Account of history and elementary properties: Everest and Ward, Height of Polynomials and Entropy in Algebraic Dynamics, Springer (1999)



## Ties with Dynamical systems

To  $P \in \mathbb{Z}[z_1^{\pm}, \dots, z_n^{\pm}]$  we associate

$$X_P = \operatorname{Hom}(\mathbb{Z}[z_1^{\pm}, \dots, z_n^{\pm}]/P, \mathbb{T})$$

 $X_P$  has a  $\mathbb{Z}^n$ -action:  $(k_1, \ldots, k_n)$  acts via multiplication by  $z_1^{k_1} \cdots z_n^{k_n}$ .

Example:  $P(z) = a_d z^d + \cdots + a_0 \in \mathbb{Z}[z]$ .

$$X_P = \{(x_k) \in \mathbb{T}^{\mathbb{Z}} : a_0 z_k + a_1 z_{k+1} + \dots + a_d z_{k+d} = 0,$$
  
all  $k\}$ 

 $\mathbb{Z}$ -action via shift.

## Ties with Dynamical systems

**Theorem** (Lind, Schmidt and Ward 1990) The topological entropy of  $X_P$  is exactly m(P).

Recall Topological Entropy

X - compact topological space.

 $T: X \to X$  - continuous map.

 $\mathcal{U}$  - open cover of X.

 $\overline{N(\mathcal{U})} = \min\{|\mathcal{V}| : \mathcal{V} \text{ is a subcover of } \mathcal{U}\}.$ 

 $\mathcal{U} \vee \mathcal{V} := \{U \cap V : U \in \mathcal{U}, V \in \mathcal{V}\}$  - join of  $\mathcal{U}$  and

**Definition:** The topological entropy of T is

$$\sup_{\mathcal{U}} \lim_{n \to \infty} \frac{1}{n} \log N(\mathcal{U} \vee T^{-1}\mathcal{U} \vee \cdots \vee T^{-(n-1)}\mathcal{U}) .$$

**Theorem** (Lind, Schmidt, Ward 1990) The set of all possible entropies of  $\mathbb{Z}^n$ -actions via automorphisms on compact groups is either  $[0, \infty]$  or equal to the set of Mahler measures of polynomials in n variables, depending on Lehmer's conjecture.

## **Essential spanning forests**

 $\Gamma$  - graph with vertex set  $\mathbb{Z}^n$ , invariant under shifts.

k(y) - number of edges connecting y and 0.

D - number of edges coming out of a vertex (assumed finite).

$$P(z_1, \ldots z_n) = D - \sum k(y)z^y$$
.

Definition: An essential spanning forest is a subgraph on all the vertices, with no cycles and having only infinite connected components.

The essential spanning forest dynamical system:

X - set of all essential spanning forests.

 $\mathbb{Z}^n$ -action - via shifts.

**Theorem** (Burton & Pemantle 1993, Solomyak 1998) The entropy of X is m(P).

#### Variation of Mahler measures

#### Rodriguez-Villegas 1998

Consider 
$$P(x,y) \in \mathbb{C}[x^{\pm},y^{\pm}].$$
  
Set  $P_k(x,y) = k - P(x,y), \lambda = \frac{1}{k}$   
 $P_k = \frac{1}{\lambda}(1 - \lambda P)$ 

$$m(P_k) = (2\pi i)^{-2} \int_{\mathbb{T}^2} \log \left| \frac{1}{\lambda} (1 - \lambda P(x, y)) \right| \frac{dx}{x} \frac{dy}{y}$$

$$\int_{\mathbb{T}^2} x^k y^m \frac{dx}{x} \frac{dy}{y} = \begin{cases} (2\pi i)^2 & \text{if } k = m = 0\\ 0 & \text{otherwise,} \end{cases}$$

so 
$$m(P_k) = \operatorname{Re} \tilde{m}(\lambda)$$

$$\tilde{m}(\lambda) = -\log \lambda - \sum_{n=1}^{\infty} \frac{a_n}{n} \lambda^n$$

where  $a_n$  is the constant coefficient of  $P(x,y)^n$ .

E.g., if  $P(x,y) = x + y + x^{-1} + y^{-1}$ , then

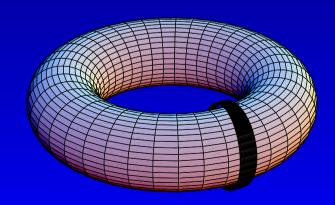
$$\tilde{m}(\lambda) = -\log(\lambda) - \sum_{n=1}^{\infty} \frac{1}{2n} {2n \choose n}^2 \lambda^{2n}$$

Set  $a_0 = 1$ . Then

$$-\lambda \frac{d}{d\lambda} \tilde{m}(\lambda) = \sum_{n=0}^{\infty} a_n \lambda^n = \frac{1}{(2\pi i)^2} \int_{\mathbb{T}^2} \frac{1}{1 - \lambda P(x, y)} \frac{dx}{x} \frac{dy}{y}$$

This is a period for the family of curves

$$C_{\lambda} := \{(x, y) \in \mathbb{C}^2 : 1 - \lambda P(x, y) = 0\}$$



It satisfies a differential equation with algebraic coefficients, the *Picard-Fuchs* equation.

In the example: 
$$u_0(\lambda) = \sum_{n=0}^{\infty} {2n \choose n}^2 \lambda^{2n}$$
.

Under substitution  $\lambda^2 = \mu$  we get the equation

$$\mu(16\mu - 1)\frac{d^2u_0}{d\mu^2} + (32\mu - 1)\frac{du_0}{d\mu} + 4u_0 = 0.$$

## L-functions

Arithmetic Geometric objects  $X \Longrightarrow L$ -function  $L(X,s): \{s \in \mathbb{C}: \operatorname{Re} s > \alpha\} \to \mathbb{C}.$  Examples:

• a Dirichlet character is a function  $\chi: \mathbb{Z} \to \mathbb{C}$ , multiplicative, periodic of period N (N is called the conductor of  $\chi$ )

$$L(\chi, s) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} .$$

E.g., if  $\chi = 1$  we get Riemann's zeta function.

It is easy to see that

$$L(\chi, s) = \prod_{p \text{ prime}} \left(1 - \chi(p)p^{-s}\right)^{-1}.$$

## L-functions of elliptic curves

• For an elliptic curve  $y^2 = x^3 + ax + b$  with  $a, b \in \mathbb{Z}$ , set

$$a_p = p + 1$$
—number of solutions of 
$$y^2 = x^3 + ax + b \text{ modulo } p$$

$$L(E, s) = \prod_{p \text{ prime}} (1 - a_p p^{-s} + p^{-2s})^{-1}$$

Taniyama-Shimura conjecture (implies Fermat) says L(E, s) has analytic continuation to all of  $\mathbb{C}$ .

#### Mahler and L-functions

Let  $\chi_3$  be the Dirichlet character of conductor 3 with  $\chi_3(1) = 1$  and  $\chi_3(2) = -1$ .

Theorem (Smyth 1981)

$$m(1+x+y) = \frac{3\sqrt{3}}{4\pi}L(\chi_3, 2) ,$$

$$m(1+x+y+z) = \frac{7}{2\pi^2}\zeta(3) .$$

**Theorem** (Schmidt)

$$m((x+y)^2+3) = \frac{2}{3}\log(3) + \frac{\sqrt{3}}{\pi}L(\chi_3, 2)$$
.

## Deninger's Explanation

consider X an algebraic variety over  $\mathbb{Q}$ . This roughly means: X is a set in  $\mathbb{C}^n$  defined by polynomial equations and inequations with coefficients in  $\mathbb{Q}$ .

 $K_n(X)$  - algebraic K-theory groups of X=?

## Beilinson's "regulator"

$$r_{\mathcal{D}}: K_n(X) \to H^i_{\mathcal{D}}(X, \mathbb{R}(2i-n))$$
.

We are interested in the case:

$$\dim X = n$$
,

$$r_{\mathcal{D}}: K_{n+1}(X) \to H_{\mathcal{D}}^{n+1}(X, \mathbb{R}(n+1)).$$

In  $K_{n+1}(X)$  we have symbols  $\{f_0, \ldots, f_n\}$ , where  $f_i$  are invertible algebraic functions on X.

$$r_{\mathcal{D}}(\{f_0, \dots, f_n\}) = \sum_{i=0}^{n} \frac{(-1)^i}{(n+1)!} \sum_{\sigma \in \mathbb{S}_{n+1}} \operatorname{sgn}(\sigma)$$
$$\log |f_{\sigma(0)}| \frac{d\bar{f}_{\sigma(1)}}{\bar{f}_{\sigma(1)}} \wedge \dots \wedge \frac{d\bar{f}_{\sigma(i)}}{\bar{f}_{\sigma(i)}} \wedge \frac{df_{\sigma(i+1)}}{f_{\sigma(i+1)}} \wedge \dots \wedge \frac{df_{\sigma(n)}}{f_{\sigma(n)}}$$

Suppose P does not vanish on  $\mathbb{T}^n$ . Let

$$X = (\mathbb{C} - \{0\})^n$$
  
-  $\{(z_1, \dots, z_n) \in \mathbb{C}^n : P(z_1, \dots, z_n) = 0\}$ 

Then  $\mathbb{T}^n \subset X$ . The functions  $z_1, \ldots, z_n, P$  are invertible on X, hence  $\{z_1, \ldots, z_n, P\} \in K_{n+1}(X)$ 

## Deninger's theorem 1997

Note that on  $\mathbb{T}$ :

• 
$$\log |z_i| = 0$$
,

• 
$$\bar{z}_i = \frac{1}{z_i}$$
 so  $\frac{d\bar{z}_i}{\bar{z}_i} = -\frac{dz_i}{z_i}$ .

**Theorem** (Deninger 1997)

$$\int_{\mathbb{T}^n} r_{\mathcal{D}}(\{P, z_1, \dots, z_n\}) = (2\pi i)^n m(P)$$

A better formula is obtained as follows:

$$Z = (\mathbb{C} - \{0\})^n - X$$

$$A = Z \cap \mathbb{T}^{n-1} \times \{|z_n| \le 1\}.$$

$$\{z_1, \dots, z_n\} \in K_n(Z).$$

$$P^*(z_1, \dots z_{n-1}) := P(z_1, \dots, z_{n-1}, 0)$$

Theorem (Deninger 1997) Under certain assumptions

$$m(P^*) - m(P) = \left(\frac{(-1)}{2\pi i}\right)^{n-1} \int_A r_{\mathcal{D}}(\{z_1, \dots, z_n\}).$$
(1)

## Beilinson's conjecture

Beilinson's conjecture: "A determinant with entries like (1) is related to a special value of an L-function" Consequence: If

- $\{z_1, \ldots, z_n\}$  extends to a "compactification" Y of Z,
- The determinant happens to be  $1 \times 1$ ,

Then we get a relation with the L-function of Y.

## p-adic Mahler measures

- Analogue of Beilinson regulator = Syntomic regulator (Fontaine, Messing, Gros, Nizioł, B.)
- Analogue of integration on the complex torus = One of
  - Multidimensional Shnirelman integration;
  - Integration on the complex torus imported via the theory of *p*-adic periods

### p-adic numbers

 $\mathbb{Q}_p$  = completion of  $\mathbb{Q}$  with respect to the absolute value

$$|p^n \frac{r}{s}|_p = p^{-n}$$
  $r, s$  prime to  $p$ 

 $\mathbb{C}_p$  = completion of the algebraic closure of  $\mathbb{Q}_p$ .

## Shnirelman integration

$$\mathbb{T}_p = \{ x \in \mathbb{C}_p : |x|_p = 1 \}$$
$$f : \mathbb{T}_p^n \to \mathbb{C}_p.$$

Definition of Shnirelman's integral:

$$\int_{\mathbb{T}_p^n} f(z) \frac{dz_1}{z_1} \dots \frac{dz_n}{z_n} := \lim_{N \to \infty \atop (N,p)=1} \frac{1}{N^n} \sum_{\zeta \in \mu_N^n} f(\zeta) .$$

Similarity with usual integration:

- Looks like Riemann sums;
- Residue theorem:

$$f(z) = \sum_{I \in \mathbb{Z}^n} a_I z_1^{i_1} \dots z_n^{i_n} \Rightarrow \int_{\mathbb{T}_p^n} f(z) \frac{dz_1}{z_1} \dots \frac{dz_n}{z_n} = a_0.$$

## p-adic Mahler measure I

Assume  $P \in \mathbb{C}_p[z_1^{\pm}, \dots, z_n^{\pm}]$  does not vanish on  $\mathbb{T}_p^n$ 

$$m_p(P) = \int_{\mathbb{T}_p^n} \log_p P(z) \frac{dz_1}{z_1} \dots \frac{dz_n}{z_n}$$

 $\log_p : \mathbb{C}_p \to \mathbb{C}_p = p$ -adic version of the logarithm. For n = 1

$$m_p(P) = \log_p \tilde{P}(0) - \sum_{\substack{0 < |b|_p < 1 \\ P(b) = 0}} \log_p b$$

## p-adic L-functions

They are p-adic functions interpolating special values of complex L-functions.

Example: Kubota-Leopoldt p-adic L-function - Interpolating special values of Riemann's  $\zeta$ :

$$\zeta(1-k) = -\frac{B_k}{k}$$

$$B_k$$
 - Bernoulli numbers,  $\frac{t}{e^t - 1} = \sum \frac{B_k}{k!} t^k$ 

Set 
$$\zeta^*(s) = (1 - p^{-s})\zeta(s)$$
.

Kummer congruences:

$$k_1 \equiv k_2 \pmod{(p-1)p^n} \Longrightarrow$$

$$\zeta^*(1-k_1) \equiv \zeta^*(1-k_2) \pmod{p^{n+1}}$$

Consequence: Existence of p-adic L-function  $L_p$ It satisfies for example:  $L_p(1-n)=\zeta^*(1-n)$  if p-1|n. Importing the complex torus to the p-adic world. For X a variety over  $\mathbb{Q}$ :

$$H_i(X(\mathbb{C}), \mathbb{Q}) \to H_i^{et}(\bar{X}, \mathbb{Q}_p) \to H_i^{dR}(X/\mathbb{Q}) \otimes B_{dR}$$

 $B_{dR}$  = "field of p-adic periods"- a mysterious field containing  $\mathbb{C}_p$ .

These maps depend on  $\sigma$  - a choice of embeddings

$$\mathbb{C} \hookleftarrow \bar{Q} \hookrightarrow \mathbb{C}_p$$

So  $\mathbb{T}^n \in H_i(X(\mathbb{C}), \mathbb{Q})$  is "imported" to the p-adic world.

$$\mathbb{T}^n \in H_i^{dR}(X/\mathbb{Q}) \otimes B_{dR}$$

## p-adic Mahler II

$$X = (\mathbb{A}^1 - \{0\})^n$$
  
-  $\{(z_1, \dots, z_n) : P(z_1, \dots, z_n) = 0\}$ 

Syntomic regulator:

$$r_{syn}\{P, z_1, \dots, z_n\} \in H_{dR}^n(X/\mathbb{Q}) \otimes \mathbb{Q}_p$$

**Definition** The  $(p, \sigma)$ -Mahler measure of P is

$$\langle r_{syn}\{P, z_1, \dots, z_n\}, \mathbb{T}^n \rangle \in B_{dR}$$

For n=1

$$m_{p,\sigma}(P) = \log_p \tilde{P}(0) - \sum_{\substack{0 < |b|_{\infty} < 1 \\ P(b) = 0}} \log_p b$$

In some cases we can tie this to special values of p-adic L-functions.